



09/ 428 808

Cofc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: William S. Lee

Patent No.: 6,968,395 **B1**

Issued: November 22, 2005

For: Parsing Messages Communicated  
Over a Data Network

§  
§  
§  
§  
§  
§  
§

Group Art Unit: 2141

Examiner: Paul H. Kang

Atty. Dkt. No.: NRT.0014US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Decision and Certificate of Correction  
Branch of the Patent Issue Division

**REQUEST FOR CERTIFICATE OF CORRECTION  
OF PATENT FOR PTO MISTAKE  
(37 C.F.R. § 1.322(a))**

1. Attached, in duplicate, is Form PTO-1050, with at least one copy being suitable for printing.
2. The location where the errors are shown correctly in the application file are in the Examiner's Amendment. [See "Supplemental Notice of Allowance" dated May 27, 2005 (copy attached).]
3. Please send the Certificate to:

Dan C. Hu  
TROP, PRUNER & HU, P.C.  
8554 Katy Freeway, Suite 100  
Houston, Texas 77024-1805

**Certificate  
DEC 27 2005  
of Correction**

Date of Deposit: December 16, 2005


I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Sherry Tipton*  
Sherry Tipton

4. All errors sought to be corrected were made in printing by the Patent and Trademark Office and no fee is believed to be due.

Respectfully submitted,

Date: 12-16-2005

  
\_\_\_\_\_  
Dan C. Hu, Registration No. 40,025  
TROP, PRUNER & HU, P.C.  
8554 Katy Freeway, Ste. 100  
Houston, TX 77024  
713/468-8880 [Phone]  
713/468-8883 [Fax]

# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO. : US 6,968,395 B1

DATED : November 22, 2005

INVENTOR(S) : William S. Lee

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 9:

Line 8, "A method" should be --A computer-implemented method--;

Line 43, "A method" should be --A computer-implemented method--;

Column 12:

Line 7, "The data signal" should be --The article--;

Line 13, "The data signal" should be --The article--;

Line 16, "A method" should be --A computer-implemented method--.

MAILING ADDRESS OF SENDER (Please do not use customer number

Dan C. Hu  
Trop, Pruner & Hu, P.C.  
8554 Katy Freeway, Suite 100  
Houston, TX 77024

PATENT NO. US 6,968,395 B1

No. of additional copies



# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO. : US 6,968,395 B1

DATED : November 22, 2005

INVENTOR(S) : William S. Lee

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 9:

Line 8, "A method" should be --A computer-implemented method--;

Line 43, "A method" should be --A computer-implemented method--;

Column 12:

Line 7, "The data signal" should be --The article--;

Line 13, "The data signal" should be --The article--;

Line 16, "A method" should be --A computer-implemented method--.

MAILING ADDRESS OF SENDER (Please do not use customer number

Dan C. Hu  
Trop, Pruner & Hu, P.C.  
8554 Katy Freeway, Suite 100  
Houston, TX 77024

PATENT NO. US 6,968,395 B1

No. of additional copies





# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/428,808	10/28/1999	WILLIAM S. LEE	NORT-0014-US	5156

7590 05/27/2005  
DAN C HU  
TROP PRUNER HU & MILES PC  
8554 KATY FREEWAY  
SUITE 100  
HOUSTON, TX 77024



EXAMINER  
KANG, PAUL H

ART UNIT 2141  
PAPER NUMBER

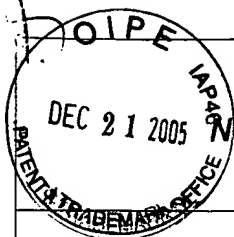
DATE MAILED: 05/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED  
JUN 01 2005

Trop, Pruner, & Hu, P.C.

Mail Date 5-13-05  
Due Date 8-13-05  
Act. Req. issue & pub fee due  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
TPHD ☒ TPHA ☒ ITLD ☐



# Supplemental Notice of Allowability

Application No.

09/428,808

Examiner

Paul H. Kang

Applicant(s)

LEE, WILLIAM S.

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the telephone interview on May 25, 2005.
2. ☒ The allowed claim(s) is/are 1-30.
3. ☒ The drawings filed on 28 October 1999 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- |   |   |
|---|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)  | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)           |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____ |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                   |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material          | 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance             |
|   | 9. <input type="checkbox"/> Other _____   |

S. O. O

Art Unit: 2141

**EXAMINER'S AMENDMENT**

1. An Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 C.F.R. § 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the Issue Fee.

Authorization for this Examiner's Amendment was given in a telephone interview with Mr. Dan Hu on May 25, 2005.

2. Claim 1, line 1, change "A method" to -- A computer-implemented method --.
3. Claim 6, line 1, change "A method" to -- A computer-implemented method --.
- 4. Claim 21, line 1, change "A data signal embodied in a carrier wave comprising" to -- An article including one or more machine-readable storage media containing --.
5. Claim 22, line 1, change "The data signal" to -- The article --.
6. Claim 23, line 1, change "A method" to -- A computer-implemented method --.
7. Claim 29, line 1, change "The data signal" to -- The article --.

***Conclusion***

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul H. Kang whose telephone number is (571) 272-3882. The examiner can normally be reached on 9 hour flex. First Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rupal Dharia can be reached on (571) 272-3880. The fax phone number for the

Art Unit: 2141

organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**PAUL H. KANG**  
**PRIMARY PATENT EXAMINER**